**United Nations Development Programme**
**Philippines**



**Project Title: Paving the Road to the SDGs through Good Local Governance**
**2020 Annual Work Plan (Revised)**

**Implementing Partner:** **UNDP**

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### Project Description

Efficient, resilient, and well-planned road networks ensure that no one is left behind in the drive for inclusive growth. This is why roads are considered as an important foundation for the Sustainable Development Goals (SDGs), and a prerequisite for bringing communities together.

At present, only 24.6% of all provincial roads in the Philippines are of acceptable quality. Of the 12,726km of provincial core roads, 57.2% are unpaved and in need of upgrading, while 20.2% are in poor condition and in need of rehabilitation. The situation is similarly dire for the 19,098 km of non-core roads, with 67% needing upgrading and 7% in need of rehabilitation. To address this situation, the Department of the Interior and Local Government has launched the Conditional Matching Grant to Provinces (CMGP) initiative to improve the quality of the local road network across the country, by providing both financial investment for capital outlay, as well as strengthening the governance processes so that provincial governments are themselves able to effectively plan, design, implement and maintain their local road networks.

In partnership with DILG, UNDP will provide support to the governance reform and quality assurance components of CMGP through the Roads2SDGs framework. This framework will anchor the prioritisation, planning, design, implementation, and maintenance of infrastructure projects to the achievement of the SDGs, incorporating the elements of partnership building, climate change and disaster risk reduction, gender mainstreaming, and citizen participation for transparency and accountability.

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**Country Programme Period: 2019-2023**

**Project/Outputs ID:104536/106047**

Project Start Date:    29 December 2017
Project End Date :     31 March 2021

Project Board Meeting Date:  24 June 2020

**2020 AWP budget:**

Total resources required: $ **1,700,000.00**
Total allocated resources: _____
- Regular: _____
- Other:
    - Donor: _____
    - Government: $ **1,700,000.00**

Unfunded budget:        _____
In-kind Contributions: _____

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Agreed by UNDP:     **SELVA RAMACHANDRAN**, Resident Representative
Date:               29-Dec-2020

## PROGRAMME ALIGNMENT

| A.1 2019-2023 PFSD/CPD Outcome alignment | 1: The most marginalized, vulnerable, and at-risk people and groups benefit from inclusive and quality services and live in a supportive environment wherein their nutrition, food security, and health are ensured/protected. |
|---|---|

| A.2 2019-2023 CPD Output Indicator alignment<br>*[Choose between 1-3 applicable indicators]* | **1.1.1 Number of UNDP-assisted LGUs with geographically isolated and disadvantaged (GID) communities having development plans and budgets integrating the SDGs [IRRF 1.1.1.1]** |
|---|---|

| Baseline | | Targets / Cumulative Results | | | End of Project Target: 2021 | |
|---|---|---|---|---|---|---|
| Year | Quantity/Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 78 | 78 | 78 | 78 | |

*1.2.1 Number of UNDP-assisted NGAs and LGUs implementinbg reforms and innovations for delivery and monitoring of services, public finance management, or public procurement.*

| Baseline | | Targets / Cumulative Results | | | End of Project Target: 2021 | |
|---|---|---|---|---|---|---|
| Year | Quantity/Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 60 | 78 | 78 | 78 | |

*1.3.1 Number of individuals and institutions engaged in NGAs and LGUs through UNDP-supported civic engagement mechanisms*

| Baseline | | Milestone | | | End of Project Target: 2021 | |
|---|---|---|---|---|---|---|
| Year | Quantity/Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 12 | 16 | 16 | 16 | |

| A.3 2018-2021 UNDP SP IRRF Output Indicator Alignment<br><br>2.2.1 Use of digital technologies and big data enabled for improved public services and other government functions | SP Outcome 2: Accelerate structural transformations for sustainable development |
|---|---|

| Baseline | | Targets / Cumulative Results | | | End of Project Target | |
|---|---|---|---|---|---|---|
| Year | Quantity/ Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 1 | 2 | 2 | 2 | |

- using frameworks[1] that leverage digital technologies and big data for:
    - Delivery and monitoring of services
    - Public engagement
    - Access to and protection of information
    - Urban development using smart technologies
    - Other critical public services (e.g. public procurement)

| A.4 Sustainable Development Goals Target Alignment | *Indicate applicable SDG targets. See [*link*] for full list of targets and indicators.*<br><br>*9.1 Develop quality, reliable, sustainable and resilient infrastructure, including regional and transborder infrastructure, to support economic development and human well-being, with a focus on affordable and equitable access for all.*<br>*16.5 Substantially reduce corruption and bribery in all their forms.*<br>*16.6 Develop effective, accountable and transparent institutions at all levels.*<br>*16.7 Ensure responsive inclusive, participatory and representative decision making at all levels.*<br>*17.5 Encourage and promote effective public, public- private, and civil society partnerships, building on the experience and resourcing strategies of partnerships.* |
|---|---|

| A.5 Project Document Outcome Indicators<br><br>*Empowered citizens and businesses benefitted from increased connectivity to basic services and increased commerce through effective and inclusive road governance.* | Percent of LGU road plans and designs with enhanced features that measurably contribute to the achievement of the SDGs |
|---|---|

Percent of LGU road plans and designs with enhanced features that measurably contribute to the achievement of the SDGs

| Baseline | | Targets / Cumulative Results | | | End of Project Target: 2021 | |
|---|---|---|---|---|---|---|
| Year | Quantity/ Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 30% | 50% | 70% | 70% | |

Percent of communities with increased access to basic services through the implementation of CMGP road projects

| Baseline | | Targets / Cumulative Results | | | End of Project Target: 2021 | |
|---|---|---|---|---|---|---|
| Year | Quantity/ Points /Rating | 2018 | 2019 | 2020 | Target | Actual |
| 2017 | 0 | 30% | 50% | 70% | 70% | |

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[1] Includes policy, legal and regulatory frameworks and funded programmes/initiatives.

# I.     2020 ANNUAL WORK PLAN

**Project Title: Paving the Road to the SDGs through Good Local Governance (Roads2SDGs)**

**Project ID: 104536**

**Output ID: 106047**

**Implementing Partner: UNDP**

| EXPECTED OUTPUT |||||||
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| **Output 1: Governance HUBS strengthened to provide continuous technical support to provincial governments, citizens, and DILG in the implementation of CMGP.** |||||||
| **Project Outputs/Indicators** || **Baseline** || **Annual Target 2020** | **Cumulative Target (From Start Year) Start Year: 2018** | **End-of-Project Target End-Year: 2021** |
| 1.1 Extent to which non-state local institutions are able to establish a consortium to provide support to local governments on Roads QA, governance reform, and citizen engagement || 2018 || Not adequately – no consortium established | Adequately – consortium established | Adequately – consortium established | Adequately – consortium established |
| 1.2 Number of provinces provided with technical support from Governance HUBS on Governance Reform and SDG Localization || 2017 || 0 | 78 | 78 | 78 |
| 1.3 Number of GHUBS with Sustainability Plans || 2019 || 0 | 16 | 16 | 16 |

| PLANNED ACTIVITIES (for Output No.1) |||||| PLANNED BUDGET (for Output No.1) ||||
| :--- | :--- | :-: | :-: | :-: | :-: | :--- | :--- | :-: | :--- | :--- |
| **Activity/Sub-Activity Description** | **Activity/Sub-Activity Deliverables** | **TIMEFRAME 2020** |||| **RESPONSIBLE PARTY** | **FUND SOURCE/ DONOR** | **BUDGET** || **AMOUNT** |
| | | **Q1** | **Q2** | **Q3** | **Q4** | | | **Code** | **Description** | |
| a. G-HUBS Business Modelling and Sustainability | • Preparation of Business Plan per GHUBS | ▪ | | | | UNDP | PHL | 75700 | Training, Workshops and Conferences | $ 55,000.00 |
| | • Presentation and Critiquing/ Convener's Forum | ▪ | | | | UNDP | PHL | 71600 | Travels/DSA | $ 18,000.00 |
| | • Finalization of business plans | | | ▬ | | UNDP | PHL | 71300 | Local Consultants | $ 170,000.00 |
| | • Institutionalization of GHUBS | | | ▬ | ▬ | | PHL | 72100 | Contractual Services-Companies | $ 3,500.00 |
| b. Capacity Enhancement/ Support to GHUBS | • SDG Localization; Integration of LOGOD and DevLIVE+ | ▬ | ▬ | | | | | | | |
| | • Establishment of SDG database and continuing roll-out | | | ▬ | | | | | | |
| **Sub-Total: Output 1** ||||||||||| **$ 246,500.00** |

| EXPECTED OUTPUT | | | | | |
|---|---|---|---|---|---|
| Output 2: Capacity of Provincial Governments and DILG enhanced to plan, design, implement and maintain quality road networks through effective governance process. | | | | | |
| Project Outputs/Indicators | Baseline | | Annual Target 2020 | Cumulative Target (From Start Year) Start Year: 2018 | End-of-Project Target End-Year: 2021 |
| 2.1 Number of Provincial Governance Reforms Roadmaps (PGRR) formulated | 2017 | 0 | 0[2] | 78 | 78 |
| 2.2 Percent of provincial road projects with quality assurance assessments and recommendations | | | | | |
|    2.2.1 2017 provincial road projects | 2017 | 0% | 0%[3] | 100% | 100% |
|    2.2.2 2018 provincial road projects | 2018 | 0% | 100% | 100% | 100% |
| 2.3 Number of provinces provided with technical support on QA | 2017 | 0 | 78 | 78 | 78 |
| 2.4 Percent of ROs and PLGUs that utilized electronic system for quality assurance and governance reform for provincial road projects (IPMS and DevLive) | 2017 | 0% | 100% | 100% | 100% |
| 2.5 Percent of PLGUs and DILG functionaries that received training in modules and systems from GHUBS mentors and UNDP deployed experts (Modules/Systems: LRAM, LRNDP, QA Retooling, DevLive and SDG Localization) | | | | | |
|    2.5.1 PLGUs | 2018 | 0% | 50% | 50% | 50% |
|    2.5.2 DILG Regional Focal | 2018 | 0% | 100% | 100% | 100% |
| 2.6 Percent of PGRRs with Sustainable Development Goals indicator alignment | 2018 | 0% | 0%[4] | 80% | 80% |

| PLANNED ACTIVITIES (for Output No.2) | | | | | | PLANNED BUDGET (for Output No.2) | | | |
|---|---|---|---|---|---|---|---|---|---|
| Activity/Sub-Activity Description | Activity/Sub-Activity Deliverables | TIMEFRAME 2020 | | | | RESPONSIBLE PARTY | FUND SOURCE/ DONOR | BUDGET | AMOUNT |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| a. Multi-stakeholder conference for the Roadmap on Provincial Roads and Good Governance | • Technical Assistance on the updating and monitoring of Provincial Government Reform Roadmap (PGRR) | ▬ | ▬ | | | UNDP | PHL | 75700 | Training, Workshops and Conference/ (online e-learning/ webinars) | $17,500.00 |

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[2] End-of-project target achieved in 2019.

[3] End-of-project target achieved in 2019.

[4] End-of-project target achieved in 2019.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| b. Manual/Module and System/Software Development | • Enhancement of Local Road Network Development Planning (LRNDP) Guidelines | | UNDP | PHL | 72100 | Contractual Services-Companies (Lab for QA Testing) | $ 420,000.00 |
| | • Updating of Local Road Management Performance Assessment Tool (LRMPAT) | | UNDP | PHL | 72400 | Communication & Audio Visual Equip | $ 10,000.00 |
| | • Enhancement of Local Road Quality Assurance Guidebook; QA Assessment Tool and PEO Capacity Assessment Tool | | UNDP | PHL | 71300 | Local Consultants | $ 82,720.00 |
| | • Finalization of Local Road Projects Quality Assurance Guidebook and Capacity Assessment Tool | | UNDP | PHL | 71600 | Travel | $ 14,000.00 |
| | • Enhancement of the Procurement Guide to include E-procurement and Development of TOR for the Procurement Monitoring System | | UNDP | PHL | | | |
| | • Infrastructure Project Management System (IPMS) | | UNDP | PHL | | | |
| | • Enhancement (Upgrading) of Roads and Bridges Information System (RBIS) to include all local roads. | | UNDP | PHL | 73400 | Rental & Maint of Other Equip (QA/Transport) | $ 2,000.00 |
| c. CMGP Monitoring and Evaluation | • Finalization of the Theory of Change (TOC) and Results Framework (RF) of CMGP | | UNDP | PHL | | Virtual Meetings | |
| | • Development of CMGP M&E Guidelines | | UNDP | PHL | 71300 | Local Consultants | $ 8,800.00 |
| | • Post National Program Implementation Review (NPIR) Assessment | | | | | Virtual Meetings | |
| | • Mid-Term Review of CMGP Program | | UNDP | PHL | 71300 | Local Consultants | $ 35,000.00 |
| d. Capacity Enhancement on Local Road Management | • Review and refresher on Local Road Quality Management Guidebook; PEO Capacity Assessment Tool; Local Road Implementation QA Assessment Tool | | UNDP | PHL | 71400 | Service Contracts-Individuals (QA RE/ Field Engineers) | $ 327,500.00 |
| | • Training of Trainers on Local Road Network Development Planning | | | | | | |
| | • Training Workshops on LRMPAT - (Review and TOT/Pilot/Roll-out) | | | | | | |
| | • Users' training workshops on the Infrastructure Project Management IPMS - Training of Trainers (TOT) | | | | | | |
| **Sub-Total: Output 2** | | | | | | | **$ 917,520.00** |

| EXPECTED OUTPUT | | | | | |
|---|---|---|---|---|---|
| Output 3: Citizens organized to instil transparency and accountability in the implementation of road projects in 78 provinces. | | | | | |
| **Project Outputs/Indicators** | | **Baseline** | | **Annual Target 2020** | **Cumulative Target (From Start Year) Start Year: 2018** | **End-of-Project Target End-Year: 2021** |
| 3.1 Number of citizen volunteers using the ROADS module of DevLive (citizen feedback platform) to monitor the implementation of provincial road projects | | 2017 | 0 | 780 (10 community volunteers per province) | 0 | 780 |
| 3.2 Percent of provincial road projects (2017 & 2018) monitored by citizen volunteers | | 2017 | 0% | 80% | 0% | 80% |

| PLANNED ACTIVITIES (for Output No.3) | | | | | | | | PLANNED BUDGET (for Output No.3) | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **Activity/Sub-Activity Description** | **Activity/Sub-Activity Deliverables** | **TIMEFRAME 2020** | | | | **RESPON SIBLE PARTY** | **FUND SOURCE/ DONOR** | **BUDGET** | | | **AMOUNT** |
| | | **Q 1** | **Q 2** | **Q 3** | **Q 4** | | | **Code** | **Description** | |
| a. System Development: DILG OPDS DevLIVE | • Software Development for DevLIVE; Citizen Non-Technical and Technical Monitoring and Feedback loop mechanism | | ▬ | | | UNDP | PHL | 71300 | Local Consultant | $ 100,000.00 |
| b. Capacity Building/ Enhancement on Citizen Engagement/Participation, Monitoring through the DevLIVE | • Training of Trainers and Pilot of Citizen Monitoring through the DevLIVE | | | ▬ | | UNDP | PHL | 75700 | Training, Workshops and Conference. (online e-learning/ webinars) | $ 5,000.00 |
| NOTE: The UNDP Roads to SDGs Regional Engineers (REs) will provide Technical Assistance to the DILG Field Offices and Provinces on the trainings and roll-out of these systems | • Technical Assistance on the roll-out of DevLIVE | | | ▬ | | UNDP | PHL | 71600 | Travel | $ 2,500.00 |
| **Sub-Total: Output 3** | | | | | | | | | | **$ 107,500.00** |

| EXPECTED OUTPUT |
|---|

| Output 4: Effective Project Management | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| **PLANNED ACTIVITIES (for Output No.4)** | | | | | | | | **PLANNED BUDGET (for Output No.4)** | | |
| **Activity/Sub-Activity Description** | **Activity/Sub-Activity Deliverables** | **TIMEFRAME 2020** | | | | **RESPONSIBLE PARTY** | **FUND SOURCE/ DONOR** | **BUDGET** | | **AMOUNT** |
| | | **Q 1** | **Q 2** | **Q 3** | **Q 4** | | | **Code** | **Description** | |
| Project Management/ Operations | • Personnel, Administrative, travels, supplies, Office rentals and incidentals | | | | | UNDP | PHL | 71400 | Service Contracts-Individuals (PMO) | $ 182,000.00 |
| | • Joint CMGP and Roads to SDGs planning (2020 and 2021) | | | | | UNDP | PHL | 75100 | Facilities & Administration | $ 62,000.00 |
| | • Project Management Meetings | | | | | UNDP | PHL | 74200 | Audio Visual & Print Prod Costs | $ 1,000.00 |
| | • Project Board Meeting | | | | | UNDP | PHL | 72400 | Communication & Audio Visual Equip | $ 3,000.00 |
| | • Terminal Evaluation | | | | | UNDP | PHL | 71300 | Local Consultant | $ 50,500.00 |
| | • Final Project Report (Prep Work - Writeshops/Meetings) | | | | | UNDP | PHL | 72200 | Equipment and Furniture | $ 500.00 |
| | • | | | | | UNDP | PHL | 71600 | Travel/DSA | $ 2,000.00 |
| | | | | | | UNDP | PHL | 64300 | DPC | $ 80,000.00 |
| **Sub-Total: Output 4** | | | | | | | | | | **$ 381,000.00** |
| **Total of Outputs 1 to 4:** | | | | | | | | | | **$ 1,652,520.00** |
| **GMS (3%)** | | | | | | | | | | **$ 47,480.00** |
| **GRAND TOTAL** | | | | | | | | | | **$ 1,700,000.00** |

## II.    MANAGEMENT ARRANGEMENTS

*Explain the roles and responsibilities of the parties involved in managing the project.*

*Use the diagram below for the composition of the Project Board – as deemed necessary and confirmed during the Project Appraisal Committee meeting.*

The Project Roads to SDGs will be managed under the Institution and Partnership (IP) of the United Nations Development Program (UNDP). Project implementation shall be in close coordination with DILG Conditional Matching Grant to the Provinces (CMGP), Project Management Office (PMO).
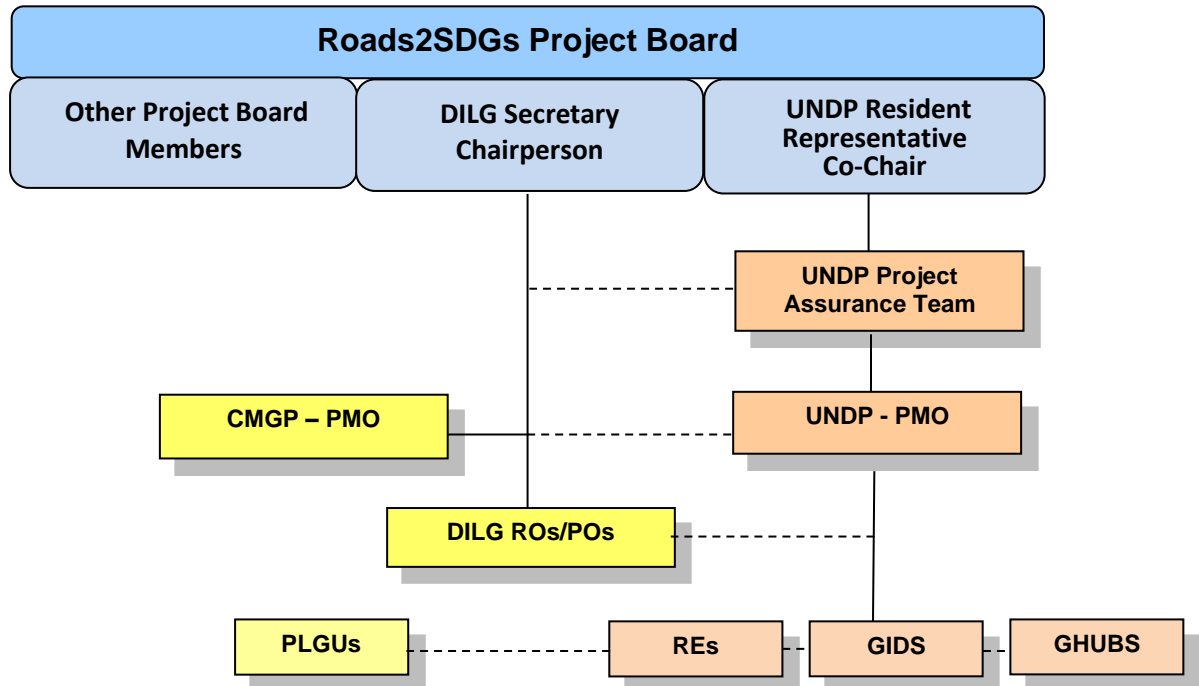
# III.   MONITORING AND EVALUATION PLAN

**Monitoring Plan**

| Expected Results & (Outcome & Output)<br><br>*Obtained from AWP results framework* | Indicators<br><br>*With what indicators the quality of the results will be measured?*<br>*With Baselines and indicative targets* | Data Collection Methods<br><br>*How will the data be obtained?* | Time or Schedule and Frequency | Means of Verification:<br>**Data Source and Type**<br><br>*What method will be used to determine if the indicator target has been met?* | Responsibilities<br><br>*Who is responsible for organizing the data collection and verifying data quality and source?* | Resources<br><br>*What are the resources required and committed for carrying out planned monitoring activities?* | Risks and Assumptions<br><br>*What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?* |
|---|---|---|---|---|---|---|---|
| **Output 1:**<br>16 Governance Hubs strengthened to provide continuous technical support to provincial governments, citizens, and DILG in the implementation of CMGP | 1.1 Extent to which non-state local institutions are able to establish a consortium to provide support to local governments on Roads QA, governance reform and citizen engagement<br><br>*Baseline: Not adequately – no consortiums established*<br>*Target: 16* | Reporting of progress and accomplishments<br><br>Field monitoring | Monthly Annual | MOUs<br>Work and Financial Plans of GHUBS<br><br>Progress Reports<br><br>Activity Reports and Documentations | Coordinating data collection: M&E Officer<br>Data verification and analysis: Community Development Specialist and Project Assistant | Travel and communication resources<br><br>Reporting system established | Low buy-in and commitment from stakeholders (e.g., provincial governments); current modality (GHUBS) and technical capacity may not be adequate to complete all project work |
| | 1.2 Number of provinces provided with technical support from Governance HUBS on Governance Reform and SDG Localization<br><br>*Baseline: 0*<br>*Target: 78* | Reporting of progress and accomplishments<br><br>Field monitoring | Monthly Annual | Work and Financial Plans of GHUBS<br><br>Progress Reports<br><br>Activity Reports and Documentations | Coordinating data collection: M&E Officer<br>Data verification and analysis: Community Development Specialist and Project Assistant | Travel and communication resources<br><br>Reporting system established | |
| | 1.3 Number of GHUBS with Sustainability Plans<br><br>*Baseline: 0*<br>*Target: 16* | Reporting of progress and accomplishments | Annual | Documents review | Coordinating data collection: M&E Officer<br>Data verification and analysis: Community Development Specialist | Reporting system established | |

| Expected Results & (Outcome & Output)  *Obtained from AWP results framework* | Indicators  *With what indicators the quality of the results will be measured?*  *With Baselines and indicative targets* | Data Collection Methods  *How will the data be obtained?* | Time or Schedule and Frequency | Means of Verification:  **Data Source and Type**  *What method will be used to determine if the indicator target has been met?* | Responsibilities  *Who is responsible for organizing the data collection and verifying data quality and source?* | Resources  *What are the resources required and committed for carrying out planned monitoring activities?* | Risks and Assumptions  *What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?* |
|---|---|---|---|---|---|---|---|
| **Output 2:**  Capacity of Provincial Governments and DILG Built to Plan, Design, Implement, and Maintain Quality Roads Networks through Effective Governance Processes | 2.1 Number of Provincial Governance Reform Roadmaps (PGRRs) formulated  *Baseline: 0 (2017); 78 (2019)*  *Target: 78* | Reporting of progress and accomplishments  Field monitoring | Monthly Annual | Approved/Signed PGRRs  Activity Reports and Documentations | Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Community Development Specialist; Project Assistant | Travel and communication resources  Reporting system established | |
| | 2.2 Percent of provincial road projects with quality assurance assessments and recommendations  2017 provincial road projects  *Baseline: 0%*  *Target: 100%*  2018 provincial road projects  *Baseline: 0%*  *Target: 100%* | Reporting of progress and accomplishments  Field monitoring | Monthly Annual | Quality assurance and assessment reports | Coordinating data collection: M&E Officer  Data verification and analysis: Road Sector Manager, Regional Engineers and Project Assistant | Travel and communication resources  Reporting system established | |
| | 2.3 Number of provinces provided with technical assistance on QA  *Baseline: 0*  *Target: 78* | Reporting of progress and accomplishments  Field monitoring | Monthly Annual | Provincial Resolutions establishing QA Units | Coordinating data collection: M&E Officer  Data verification and analysis: Road Sector Manager, Regional Engineers and Project Assistant | Travel and communication resources  Reporting system established | |
| | 2.4 Percent of ROs and PLGUs that utilize electronic systems for | Reporting of progress and accomplishments | Monthly Annual | Systems Installed – Reports generated | Coordinating data collection: M&E Officer | Travel and communication resources | |

| Expected Results & (Outcome & Output) *Obtained from AWP results framework* | Indicators *With what indicators the quality of the results will be measured?* *With Baselines and indicative targets* | Data Collection Methods *How will the data be obtained?* | Time or Schedule and Frequency | Means of Verification: **Data Source and Type** *What method will be used to determine if the indicator target has been met?* | Responsibilities *Who is responsible for organizing the data collection and verifying data quality and source?* | Resources *What are the resources required and committed for carrying out planned monitoring activities?* | Risks and Assumptions *What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?* |
|---|---|---|---|---|---|---|---|
| | quality assurance and governance reform for provincial road projects (IPMS and DevLive) *Baseline: 0%* *Target: 100%* | Field monitoring | | Capacity-Building/Activity Reports and Documentations | Data verification and analysis: Road Sector Manager, Community Development Specialist and Project Assistant | Reporting system established | |
| | 2.5 Percent of PLGUs and DILG functionaries that received training in modules and systems from GHUBS mentors and UNDP deployed experts (Modules/Systems: LRAM, LRNDP, QA, Retooling, DevLive & SDG Localization) PLGUs *Baseline: 0%* *Target: 50%* DILG Regional Focal Baseline: 0% Target: 100% | Reporting of progress and accomplishments Field monitoring | Monthly Annual | Progress Reports Capacity-Building/Activity Reports and Documentations | Coordinating data collection: M&E Officer Data verification and analysis: Road Sector Manager, Community Development Specialist, Regional Engineers and Project Assistant | Travel and communication resources Reporting system established | |
| | 2.6 Percent of PGRRs with Sustainable Development Goals indicator alignment *Baseline: 0* *Target: 80%* | Reporting of progress and accomplishments Document review | Monthly Annual | Approved/Signed PGRRs | Coordinating data collection: M&E Officer Data verification and analysis: Community Development Specialist, Governance and Institutional Development Specialist (GIDS) and Project Assistant | Travel and communication resources Reporting system established | |

| Expected Results & (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and Assumptions |
|---|---|---|---|---|---|---|---|
| *Obtained from AWP results framework* | *With what indicators the quality of the results will be measured?* *With Baselines and indicative targets* | *How will the data be obtained?* | | *What method will be used to determine if the indicator target has been met?* | *Who is responsible for organizing the data collection and verifying data quality and source?* | *What are the resources required and committed for carrying out planned monitoring activities?* | *What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?* |
| **Output 3:** Citizens organized to instil transparency and accountability in the implementation of road projects in 78 Provinces | 3.1 Number of citizen volunteers using the ROADS module of DevLive (citizen feedback platform) to monitor the implementation of provincial road projects *Baseline: 0* *Target: 780 (10 community volunteers per province)* | Utilization of DevLIVE | Monthly Annual | Field validation Capacity-Building/Activity Reports and Documentations | Coordinating data collection: M&E Officer Data verification and analysis: Community Development Specialist, GHUBS, and Project Assistant | Travel and communication resources Reporting system established | Limited participation of community members |
| | 3.2 Percent of provincial road projects (2017 & 2018) monitored by citizen volunteers *Baseline: %* *Target: 80%* | Utilization of DevLIVE | Monthly Annual | Field validation Capacity-Building/Activity Reports and Documentations | Coordinating data collection: M&E Officer Data verification and analysis: Community Development Specialist, GHUBS, and Project Assistant | Travel and communication resources Reporting system established | |

**Evaluation Plan**

| Evaluation Title | Partners (if joint) | Related Strategic Plan Output | PFSD/CPD Outcome | Planned Completion Date | Key Evaluation Stakeholders | Cost and Source of Fund |
|---|---|---|---|---|---|---|
| Terminal Evaluation | DILG | Strengthen effective, accountable and inclusive governance | 1 | End-of-Project (March 2021) | UNDP, DILG, Provincial Governments, G-HUBS | USD 35,000/Project Budget |

**Monitoring and Evaluation Budget**

| **Total Budget on Monitoring in Reporting Year** *Guidance: Costs associated with UNDP/project staff, consultants, project partners, supporting national statistical systems in designing project specific data collection methodologies (qualitative and quantitative), monitoring methods including stakeholder surveys and other qualitative methods, collection of data, analysis and dissemination of the findings to inform a project either with project partners or to fulfil specific UNDP/project requirements (preferably the former).* | Indicative: $ 5,000.00  *Note: Included in the budget per Activity/Output* | **Total budget on Decentralized Evaluations in Reporting Year (Mid-Term/Final)** *Guidance: Costs associated in designing, implementing and disseminating evaluations for specific projects* | Indicative: USD 35,000 |
|---|---|---|---|

## IV.    ANNUAL PROCUREMENT PLAN

| Yes# | Request ID | Requester Name | Title of Procurement Action | Type of Procurement Action | Procurement Category | Estimated Contract Value (USD) | Is Amendment? | Amendment Value (USD) | Submission Date for Documents | Target Purchase Order Date (if Goods) | Planned Contract Start Date (if Civil Works, IC, or Services) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | D-0000139156 | Abelardo Cea | Procurement Monitoring System for LGUs | Services | Software Development | 79,000 | No | 0 | | | 01-Apr-20 |
| 2 | D-0000167058 | Abelardo Cea | Enhancement of DILG RBIS | Services | Consultancy Services - Firms | 182,965 | No | 0 | | | 01-Oct-20 |
| 3 | D-0000167059 | Abelardo Cea | CMGP Midterm Review | Individual Contract | | 35,000 | No | 0 | | | 01-Oct-20 |
| 4 | D-0000167060 | Abelardo Cea | CMGP Monitoring and Evaluation Guidelines | Individual Contract | Individual Consultants - National | 10,000 | No | 0 | | | 01-Oct-20 |
| 5 | D-0000167061 | Abelardo Cea | Roads to SDGs Project Terminal Evaluation | Individual Contract | Individual Consultants - National | 35,000 | No | 0 | | | 01-Oct-20 |
| 6 | D-0000167062 | Abelardo Cea | Web Based Procurement Monitoring System | Services | Software Development | 61,000 | No | 0 | | | 15-Oct-20 |
| 7 | D-0000167063 | Abelardo Cea | RA 9184 Procurement Consultant | Individual Contract | Individual Consultants - National | 7,800 | No | 0 | | | 15-Sep-20 |
| 8 | D-0000167275 | Abelardo Cea | IPMS additional features | Services | Software Development | 0 | Yes | 56,950 | | | |
| 9 | PHL-0000089523 | Abelardo Cea | Post NPIR RP/Facilitator | Individual Contract | Individual Consultants - National | 6,500 | No | 0 | | | 24-Jan-20 |
| 10 | PHL-0000089830 | Abelardo Cea | RP Facilitator DILG LRNDP Finalization | Individual Contract | Individual Consultants - National | 9,300 | No | 0 | | | 06-Feb-20 |
| 11 | PHL-0000090633 | Abelardo Cea | Information Communication Officer | Individual Contract | Individual Consultants - National | 0 | Yes | 4,500 | | | |

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 12 | PHL-0000090782 | Abelardo Cea | Resource Person Facilitator | Individual Contract | Individual Consultants - National | 2,550 | No | 0 | | 03-Feb-20 |
| 13 | PHL-0000091961 | Abelardo Cea | IPMS Development | Services | Software Development | 0 | Yes | 69,525 | | |
| 14 | PHL-0000093908 | Abelardo Cea | Workshop on GHUBS Business Model and Sus. Plan | Services | Hotel – Accommodation, Event Hosting, including catering | 36,000 | No | 0 | | 07-Mar-20 |
| 15 | PHL-0000093975 | Abelardo Cea | Senior System Developer DevLive Web App | Individual Contract | Individual Consultants - National | 45,000 | No | 0 | | 20-Apr-20 |
| 16 | PHL-0000093977 | Abelardo Cea | Senior System Developer DevLive Mobile App | Individual Contract | Individual Consultants - National | 40,000 | No | 0 | | 20-Apr-20 |
| 17 | PHL-0000094048 | Abelardo Cea | TOT on the Enhanced LRNDP Guidelines | Services | Hotel – Accommodation, Event Hosting, including catering | 16,000 | No | 0 | | 28-Feb-20 |
| 18 | PHL-0000095885 | Bouvier May Murla | Documenter for GHUBS workshop | Individual Contract | Individual Consultants - National | 550 | No | 0 | | 08-Mar-20 |
| 19 | PHL-0000096315 | Abelardo Cea | Documenter | Individual Contract | Individual Consultants - National | 1,000 | No | 0 | | 09-Mar-20 |
| 20 | PHL-0000096467 | Bouvier May Murla | Resource Person GHUBS workshop | Individual Contract | Individual Consultants - National | 2,400 | No | 0 | | 09-Mar-20 |
| 21 | PHL-0000097244 | Abelardo Cea | Lease of Office - Penbrothers Int'l, Inc. | Services | Office / Warehouse Lease Agreements | 0 | Yes | (16,541) | | |
| 22 | PHL-0000099014 | Bouvier May Murla | extended accommodation for GHUBS participants | Services | Hotel – Accommodation | 10,000 | No | 0 | | 26-Mar-20 |
| 23 | PHL-0000099267 | Abelardo Cea | Updating of CMGP LRNDP Guidelines | Individual Contract | Individual Consultants - National | 0 | Yes | 4,251 | | |
| 24 | PHL-0000099273 | Abelardo Cea | Development of Local Road Management Performance | Individual Contract | Individual Consultants - National | 23,550 | No | 0 | | 27-May-20 |

| # | ID | Name | Description | Type | Category | Amount | Y/N | Value | | | Date |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Assessment (LRMPA) System/Manual | | | | | | | | |
| 25 | PHL-0000099691 | Zara Alcantara | No cost extension of Gabriel Baleos | Individual Contract | Individual Consultants - National | 0 | Yes | 0 | | | |
| 26 | PHL-0000101400 | Bouvier May Murla | Hotel for stranded participants | Services | Hotel Accommodation – | 5,000 | No | 0 | | | 20-Apr-20 |
| 27 | PHL-0000103086 | Zara Alcantara | Extension of Communications Officer | Individual Contract | Individual Consultants - National | 0 | Yes | 4,457 | | | |
| 28 | PHL-0000103477 | Bouvier May Murla | accommodation for GHUBS in Clark | Services | Hotel Accommodation – | 8,700 | No | 0 | | | 21-May-20 |
| 29 | PHL-0000105215 | Bouvier May Murla | Reviewer of GHUBS Sustainability Plan | Individual Contract | Individual Consultants - National | 400 | No | 0 | | | 11-Jun-20 |
| 30 | PHL-0000105877 | Bouvier May Murla | accommodation of stranded participants | Services | Hotel Accommodation – | 686 | No | 0 | | | 10-Jun-20 |
| 31 | PHL-0000106812 | Bouvier May Murla | accommodation for stranded participants | Services | Hotel Accommodation – | 2,468 | No | 0 | | | 20-Jun-20 |
| 32 | PHL-0000109202 | Bouvier May Murla | GHUBS CAR Mobilization Fund | Individual Contract | Individual Consultants - National | 8,926 | No | 0 | | | 25-Jul-20 |
| 33 | PHL-0000109204 | Bouvier May Murla | GHUBS Region 1 mobilization funds | Individual Contract | Individual Consultants - National | 9,072 | No | 0 | | | 25-Jul-20 |
| 34 | PHL-0000109205 | Bouvier May Murla | GHUBS Region 2 mobilization funds | Individual Contract | Individual Consultants - National | 12,788 | No | 0 | | | 25-Jul-20 |
| 35 | PHL-0000109206 | Bouvier May Murla | GHUBS Region 3 mobilization fund | Individual Contract | Individual Consultants - National | 10,944 | No | 0 | | | 25-Jul-20 |
| 36 | PHL-0000109208 | Bouvier May Murla | GHUBS Region 4A Mobilization fund | Individual Contract | Individual Consultants - National | 4,838 | No | 0 | | | 25-Jul-20 |
| 37 | PHL-0000109211 | Bouvier May Murla | GHUBS Region 4B Mobilization Fund | Individual Contract | Individual Consultants - National | 14,860 | No | 0 | | | 25-Jul-20 |

| # | ID | Name | | Description | Type | Category | Amount | Flag | Amount2 | | Date1 | Date2 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 38 | PHL-0000109212 | Bouvier Murla | May | GHUBS Region 5 mobilization | Individual Contract | Individual Consultants - National | 13,507 | No | 0 | | | 25-Jul-20 |
| 39 | PHL-0000109213 | Bouvier Murla | May | GHUBS Region 6 mobilization fund | Individual Contract | Individual Consultants - National | 8,237 | No | 0 | | | 25-Jul-20 |
| 40 | PHL-0000109214 | Bouvier Murla | May | GHUBS Region 7 mobilization fund | Individual Contract | Individual Consultants - National | 10,253 | No | 0 | | | 25-Jul-20 |
| 41 | PHL-0000109216 | Bouvier Murla | May | GHUBS Region 8 mobilization fund | Individual Contract | Individual Consultants - National | 14,429 | No | 0 | | | 25-Jul-20 |
| 42 | PHL-0000109218 | Bouvier Murla | May | GHUBS Region 9 mobilization fund | Individual Contract | Individual Consultants - National | 5,299 | No | 0 | | | 25-Jul-20 |
| 43 | PHL-0000109219 | Bouvier Murla | May | GHUBS Region 10 mobilization fund | Individual Contract | Individual Consultants - National | 12,096 | No | 0 | | | 25-Jul-20 |
| 44 | PHL-0000109220 | Bouvier Murla | May | GHUBS Region 11 mobilization fund | Individual Contract | Individual Consultants - National | 11,405 | No | 0 | | | 25-Jul-20 |
| 45 | PHL-0000109221 | Bouvier Murla | May | GHUBS Region 12 mobilization fund | Individual Contract | Individual Consultants - National | 4,176 | No | 0 | | | 25-Jul-20 |
| 46 | PHL-0000109222 | Bouvier Murla | May | GHUBS Region 13 mobilization fund | Individual Contract | Individual Consultants - National | 12,442 | No | 0 | | | 25-Jul-20 |
| 47 | PHL-0000109224 | Bouvier Murla | May | GHUBS BARMM | Individual Contract | Individual Consultants - National | 11,405 | No | 0 | | | 25-Jul-20 |
| 48 | PHL-0000109962 | Zara Alcantara | | ICT Consultant for RBIS Enhancement | Individual Contract | Individual Consultants - National | 2,404 | No | 0 | | | 10-Aug-20 |
| 49 | PHL-0000110355 | Zara Alcantara | | Roads2SDGs CIO | Individual Contract | Individual Consultants - National | 4,507 | No | 0 | | | 10-Aug-20 |
| 50 | PHL-0000111827 | Zara Alcantara | | Extension of Penbrothers | Services | Office / Warehouse Lease Agreements | 0 | Yes | 14,700 | | | |
| 51 | PHL-0000112244 | Zara Alcantara | | Purchase of tablet and accessories | Goods | ICT Equipment | 3,760 | No | 0 | | 08-Sep-20 | |
| **TOTAL** | | | | | | | **796,217** | | **137,842** | | | |

| Grand Total (Estimated Contract Value+ Amendment Value) | | 934,059 | | | |
|---|---|---|---|---|---|

## V.     RISK LOG (UPLOAD IN ATLAS: GRANTS > PROJECT MANAGEMENT > APPROVED PROJECTS > RISKS)

| | Enter a brief description of the risk | When was the risk first identified | Subcategories for each risk type should be consulted to understand each risk type | What actions have been taken/will be taken to counter this risk | Who has been appointed to keep an eye on this risk | Who submitted the risk | When was the status of the risk last checked | Status e.g. dead, reducing, increasing, no change |
|---|---|---|---|---|---|---|---|---|
| 1 | Limited capacity of Provincial Engineering Office (PEOs) on QA planning and execution | During the Road QA assessment in 2018 and 2019 | OPERATIONAL: Capacity Development of each partner | Finalization of Local Road QA Guidebook and QA tools; Capacity Enhancements of PEO Personnel on road QA

Local Road QA Guidebook is currently with DILG CMGP PMO for final comments | Road Sector Manager (RSM) | Uploaded through the Atlas Account of Zara Alcantara by the RSM | July 2020 | Reducing |
| 2 | Confusion and need clarification of roles delineation among UNDP Roads to SDGs Regional Engineers and CMGP Field Engineers | 2019 | STRATEGIC: Roles and responsibilities among partners | Technical sessions and levelling-off between UNDP and DILG CMGP for clarifications and delineation of roles | Road Sector Manager (RSM) | Uploaded through the Atlas Account of Zara Alcantara by the RSM | July 2020 | Reducing |
| 3 | Limited compliance to environmental and social safeguards | 2018 during QA assessment and DED review | ENVIRONMENTAL: Community Health and Safety | Enhancement of Local Road Network Development Planning (LRNDP)

UNDP hired a Consultant to facilitate updating of the LRNDP | Project Manager (PM) and RSM | Uploaded through the Atlas Account of Zara Alcantara by the RSM | July 2020 | Reducing |

| 4 | Additional scope requested by DILG through the CMGP PMO without fund transfer yet | 2019 December | POLITICAL: Government Commitment | For discussion during the Project Board Meeting<br><br>Schedule of the PBM is being arranged with DILG | Project Manager (PM) and RSM | Uploaded through the Atlas Account of Zara Alcantara by the RSM | July 2020 | Reducing |
|---|---|---|---|---|---|---|---|---|
| 5 | Limited Capacities of GHUBS to provide Technical Assistance to DILG and PLGUs | 2019 | STRATEGIC: Capacities of Partners | Capacity Building/ Enhancement and strengthening partnership with relevant institutions by the GHUBS<br><br>Business Model and Sustainability Planning is being conducted among GHUBS to identify strategies | Project Manager (PM) and the Community Development Specialist (CDS) | Uploaded through the Atlas Account of Zara Alcantara by the RSM | July 2020 | Reducing |
| 6 | Delay in the implementation due to the suspension of activities as affected by the PANDEMIC COVID-19 | March 2020 | OPERATIONAL | Revise implementation schedules, Activity Designs, coordination with partners stakeholders | Project Manager (PM) and RSM | Yet to be uploaded | August 2020 | Reducing |